

## **2023 REQUALIFICATION FILING REQUIREMENTS**

<b><u>DOCUMENT</u></b>	<b><u>DESCRIPTION</u></b>
1) Current license or Certificate of Authority	<b>If there are changes to your original license</b> , provide a copy of the most recent license indicating the lines of business currently authorized to write.
2) 2022 Annual Statutory Financial Statement	Provide a signed notarized 8½" x 14". (Due March 15, 2023)
3) 2022 IRIS Test Results	A copy of the company's 2022 NAIC IRIS Test Results, and where applicable, any responses to unusual values.
4) Management Discussion and Analysis (MD&A)	A copy of the MD&A that accompanies the 2022 Annual Statutory Financial Statement.
5) Report on Examination and Market Conduct Report, if applicable	A copy of the latest Report on Examination and Market Conduct Report, if applicable, performed by the domicile state.
6) 2022 Parent Company Financial Statement	A copy of the most recent audited financial statement for the ultimate parent of the company. (Due July 10, 2023)
7) EL-1 Report	A report of N.Y. business bound during 2022 as required by <a href="#">Reg. 41 §27.14(a)</a> . This document should be prominently stamped "Confidential – Proprietary Information not to be released to third parties." The required <a href="#">DFS EL-1 Portal</a> format is available on the Department's website. (To be submitted to DFS only)