PART C – AFFIDAVIT BY PRODUCING BROKER

1. PRODUCING BROKER INFORMATION

Name of Company: 
NAIC Code: 
Date of Declin.: 

The insurer declined to underwrite the risk because:
1. Insurer presently lacks adequate capacity to write this risk.
2. Specific underwriting reason.
3. Other (Specify) 

Affiliation of Representative: 
Name of Representative Declining Risk

I believed this insurer would consider underwriting this risk because:
1. Recent acceptance by the insurer of a risk, requiring that type of coverage or class of insurance.
2. Advertising by the insurer or its agent indicating it entertains that type of risk/coverage.
3. Media communications (Newspapers, Trade Magazines, Radio) which indicate the insurer will underwrite that type of coverage.
4. Communications with other professionals, such as brokers, agents, risk managers, insurance department or ELANY personnel indicating the insurer entertains such risks.
5. Any other valid basis you can document.

NYSID Form 41C - W (Ed. July 2011)
PART C – AFFIDAVIT BY PRODUCING BROKER

AFFIDAVIT NO. __________________________

AUTHORIZED COMPANIES DECLINING THE RISK

2. Name of Company __________________________ Date Declin.: ______________
   NAIC Code __________

The insurer declined to underwrite the risk because:
1. ☐ Insurer presently lacks adequate capacity to write this risk.
2. ☐ Specific underwriting reason.
3. ☐ Other (Specify)

Affiliation of Representative: ☐ Company Employee ☐ Agent ☐ Other (specify) __________________________

Name of Representative Declining Risk __________________________

I believed this insurer would consider underwriting this risk because:
☐ Recent acceptance by the insurer of a risk, requiring that type of coverage or class of Insurance.
☐ Advertising by the insurer or its agent indicating it entertains that type of risk/coverage.
☐ Media communications (Newspapers, Trade Magazines, Radio) which indicate the insurer will underwrite that type of coverage.
☐ Communications with other professionals, such as brokers, agents, risk managers, insurance department or ELANY Personnel indicating the insurer entertains such risks.
☐ Any other valid basis you can document. __________________________

3. Name of Company __________________________ Date Declin.: ______________
   NAIC Code __________

The insurer declined to underwrite the risk because:
1. ☐ Insurer presently lacks adequate capacity to write this risk.
2. ☐ Specific underwriting reason.
3. ☐ Other (Specify)

Affiliation of Representative: ☐ Company Employee ☐ Agent ☐ Other (specify) __________________________

Name of Representative Declining Risk __________________________

I believed this insurer would consider underwriting this risk because:
☐ Recent acceptance by the insurer of a risk, requiring that type of coverage or class of Insurance.
☐ Advertising by the insurer or its agent indicating it entertains that type of risk/coverage.
☐ Media communications (Newspapers, Trade Magazines, Radio) which indicate the insurer will underwrite that type of coverage.
☐ Communications with other professionals, such as brokers, agents, risk managers, insurance department or ELANY Personnel indicating the insurer entertains such risks.
☐ Any other valid basis you can document. __________________________

AFIRMATION

I, __________________________, am the licensee or sublicensee of the named broker in Section 1 of this affirmation and I hereby affirm under penalties of perjury that all of the information contained herein is true to the best of my knowledge and belief.

Signature of Affiant __________________________ Date __________________________