

2021 REQUALIFICATION FILING REQUIREMENTS

DOCUMENT	DESCRIPTION
1) Current license or Certificate of Authority	If there are changes to your original license , provide a copy of the most recent license indicating the lines of business currently authorized to write.
2) 2020 Annual Statutory Financial Statement	Provide a signed notarized 8½” by 14”. (Due March 15, 2021)
3) 2020 IRIS Test Results	A copy of the company’s 2020 NAIC IRIS Test Results, and where applicable, any responses to unusual values.
4) Management Discussion and Analysis (MD&A)	A copy of the MD&A that accompanies the 2020 Annual Statutory Financial Statement.
5) Report on Examination and Market Conduct Report, if applicable	A copy of the latest Report on Examination and Market Conduct Report, if applicable, performed by the domicile state.
6) 2020 Parent Company Financial Statement	A copy of the most recent audited financial statement for the ultimate parent of the company. (Due July 10, 2021)
7) EL-1 Report	A report of N.Y. business bound during 2020 as required by Reg. 41 §27.14(a). This document should be prominently stamped “Confidential – Proprietary Information not to be released to third parties”. The required EL-1 Report format is available on the New York State Department of Financial Services website DFS EL-1 Portal . (To be submitted to DFS only)